



Elaine F. Marshall, North Carolina Secretary of State
Principal Economic Information Protection Registration Statement 2016

Principal Information

Complete Name of Principal:* _____

Physical Business Address of Principal (**NOT a P.O. Box**): _____

Name of Principal's Authorized Officer: _____

Title of Principal's Authorized Officer: _____

Mailing Address of Principal's Authorized Officer: _____

Telephone No. of Principal's Authorized Officer: _____ Fax: _____

E-Mail Address of Principal's Authorized Officer (see ** below): _____

Optional secondary e-mail address (Example: Administrative Assistant): _____

Principal Legal Structure: (Check One) NC Corporation Limited Liability Company Unincorporated Association Foreign Corporation _____ (Foreign State of Incorporation) Non-Profit Professional Limited Liability Company.

If the entity is an unincorporated association, the authorized officer must provide a list of all officers. Please attach the list to this registration

Lobbyist Information

Complete Name of Lobbyist: _____

Firm Name of Lobbyist (If Applicable): _____

Business Address of Lobbyist (Physical **NOT a P.O. Box**): _____

Mailing Address of Lobbyist: _____

Telephone No. of Lobbyist: _____ Fax No. _____

E-Mail Address of Lobbyist: _____

Optional secondary e-mail address (Example: Administrative Assistant): _____

*The principal is the entity or person, as defined in G.S. §120C-100(a) (11) on whose behalf the lobbyist influences or attempts to influence legislative and/or executive action and who makes payment for the lobbying. The authorized officer is the person designated by the principal to act as the contact person for all lobbying activities. Information included on this form should correspond to information provided on the Lobbyist Economic Information Protection Registration Statement 2016 (Form EIPLR).

** If you do not provide an email address on this form we are under no obligation to inform you of report due dates, form changes, or any other information we provide via these methods.

AUTHORIZATION OF ADDITIONAL INDIVIDUAL TO FILE RELEASE

A principal shall designate and authorize at least one other individual to file a release authorizing the Department to disclose the confidential economic development information and to make it publicly available pursuant to G.S. §120C-220 and §120C-405. If the principal desires to authorize any additional individuals, please attach additional pages and include all information requested below for each.

Complete Name of Additional Other Authorized Individual: _____

Title of Other Authorized Individual: _____

Address of Other Authorized Individual: _____

Telephone Number of Other Authorized Individual: _____

Email Address of Other Authorized Individual: _____

DESIGNATION OF AUTHORIZED STATE OR LOCAL GOVERNMENT EMPLOYEE, OFFICIAL, OR PUBLIC SERVANT

A principal shall identify to the Department at least one state or local government employee, official or public servant ("authorized government official") who meets the criteria specified in 18 NCAC 12 .0521 (available on the Lobbying Compliance Division website www.sosnc.com). A principal may designate the Secretary of the Department of Commerce as the authorized government official. **Failure of a principal to designate an authorized government official pursuant to 18 NCAC 12 .0518 shall constitute designation of the Secretary of the Department of Commerce or his or her designee as the principal's authorized government official for all purposes for which such a designation may be made or is required.**

Complete name of the state or local government employee, official or public servant:

Complete title of the official position held by the state or local authorized government official listed above designated by the principal at the time of filing:

Complete name of the state or local governmental agency: _____

Complete mailing address of the state or local authorized government agency:

Complete physical address of the state or local authorized government agency:

Telephone number at which the state or local official or the person currently occupying the authorized government position can be reached weekdays between 8:00am – 5:00 pm: _____

Email address of the state or local official occupying the authorized government official position at the time of filing:

Confirmation Signature

Pursuant to Section 5 of the Lobbying Rules, the Authorized Government Official identified above shall sign this form confirming all the criteria of Section 5 of the Lobbying Rules of the Secretary of State have been met.

Signature of Government Official

Date

Scope of Government Official's Authority To Determine Protected Status

Select one of the following statements by **initialing** the line next to the statement.

- _____ The principal **DOES**
- _____ The principal **DOES NOT**

authorize the government official to extend the economic information protection status until such time as the requirements for protection of the economic development information from premature disclosure no longer exists even if the principal elects not to submit a principal's registration for the next calendar year.

Describe the economic development activity, economic development initiative, industrial or business recruitment activity (describe only one project per request form).

CERTIFICATION

By signing this certification, I understand that the principal through its authorized officer or representative has an affirmative duty to comply with the Lobbying Law and the rules, including filing reports or continuation filings as the law requires. I also certify that this filing constitutes and conforms with G.S. §120C-101(b), Chapter 132 of the General Statutes, and the rules to protect from premature disclosure all confidential information under Chapter 132 of the General Statutes related to economic development initiatives or to industrial or business recruitment activities.

Signature of Principal Authorized Representative

Date

FOR DEPARTMENT USE ONLY

Approved by: _____ Date: _____

Denied by: _____ Date: _____

Information Regarding Filing

1. **ONLY USE THIS FORM IF YOU ARE REGISTERING TO LOBBY FOR MATTERS THAT ARE RELATED TO ECONOMIC DEVELOPMENT INITIATIVES OR TO THE RECRUITMENT OF INDUSTRIAL OR BUSINESS RECRUITMENT ACTIVITIES.**
2. For each quarter a principal is registered, the authorized officer is obligated to file a quarterly expense report and may be obligated to file monthly expense reports when necessary.
3. Registration triggers reporting obligations. **Quarterly reports must be filed regardless of whether you have any reportable expenditures and be notarized.**
4. This form must be filed within twenty days by all principals who have employed or retained a lobbyist for the purpose of lobbying related to economic development initiatives or to industrial or business recruitment activities. Information on this form should correspond to information provided in the Lobbyist Economic Information Protection Registration Statement (Form EIPLR).
5. Registration will expire on **December 31** of the current year unless we receive the Secretary of State resignation or termination statement before that date. The principal shall file a new registration statement after that date. The fee of two hundred and fifty dollars (\$250.00) is due and payable to the Secretary of State when the principal's FIRST Registration/Authorization statement is filed each calendar year for a lobbyist under G.S.§120C-207(a).
6. The principal is the entity who files the economic development designation form. This person can be the authorized representative of the principal.
7. This form must be filed with the Lobbyist Economic Information Protection Registration Statement.
8. This form shall cover only one economic development activity. A separate form is required if engaging in more than one economic activity.
9. The Department shall disclose economic development activity information filed with the Department upon receiving a release pursuant to Chapter 5 of the Department's Lobbying Rules.
10. On October 1, 2013, legislatively mandated electronic filing became effective for Principal registrations, reports, and their respective amendments. For more background on this change see: Section 27.1 of Session Law 2013-360, Senate Bill # 402.
11. **How to e-file your Registration:** E-file by using the Lobbying Compliance Division Portal on our website:
<https://www.secretary.state.nc.us/account/logon?returnaspx=~/lobbyists/FilingEntryNew.aspx>.